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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**

SEP 12 2002

Application of: *Srivastava et al.*

TECH CENTER 1600/2900

Application No.: 09/393,652

Group Art Unit: 1644

Filed: September 10, 1999

Examiner: G. R. Ewoldt, Ph.D.

For: METHODS AND COMPOSITIONS FOR THE  
TREATMENT AND PREVENTION OF GRAFT  
REJECTION USING HEAT SHOCK PROTEINS

Attorney Docket No.: 8449-025-999

Confirmation No.: 3088

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56 AND §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby invite the Examiner's attention to the references CK to CN listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant." Copies of references CK to CN are submitted herewith.

Identification of the above-listed references is not to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the instant application. Consequently, Applicants respectfully decline to use Form PTO-1449 since that form identifies all references cited therein as "Prior Art." As an alternative, Applicants submit herewith a "Revised Form PTO-1449" entitled "List of References Cited."

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Applicants respectfully request that the Examiner review each of the references identified on the attached Revised Form PTO-1449, and that the references be made of record in the file history of the above-captioned application.

Pursuant to 37 C.F.R. § 1.97(c)(2), since this Information Disclosure Statement is being submitted after the mailing date of a first Office Action on the merits in connection with the instant application, a fee of **\$180.00** is believed to be due. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate of this document is enclosed for accounting purposes.

Respectfully submitted,

Date September 9, 2002

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Enclosure